09-15-00 A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No.	KLR 7146.095
First Inventor or Application Identifier	Daly et al.
Title	METHOD FOR ADAPTING QUANTIZATION IN VIDEO CODING USING FACE DETECTION AND VISUAL ECCENTRICITY WEIGHTING
Express Mail Label No.	EL619334112US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
   (Submit an original and a duplicate for fee processing)
2. ☒ Specification — *Total pages* 47
   (*preferred arrangement set forth below*)
   - Descriptive Title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Federally Sponsored Research
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (*if filed*)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
3. ☒ Drawing(s) (*35 USC 113*) — [Total Pages 8
4. Oath or Declaration — [Total Pages 55
   a. ☐ Newly executed (original or copy)
   b. ☒ Copy from a prior application (37 CFR §1.63(d))
   (*for continuation/divisional with Box 16 completed*)
   I. ☐ Deletion of Inventor(s)
   Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)

* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   a. ☐ Computer readable copy
   b. ☐ Paper copy (identical to computer copy)
   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 CFR §3.73(b) Statement *when there is an assignee* ☒ Power of Attorney
9. ☐ English translation document (*if applicable*)
10. ☐ Information Disclosure Statement (IDS) /PTO 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
    (*should be specifically itemized*)
13. ☐ *Small Entity Statements (*PTO/ sb/09-12*) ☐ Statement filed in prior application. Status still proper and desired.
14. ☐ Certified Copy of Priority Document(s)
    (*if foreign priority is claimed*)
15. ☒ Other: Preliminary Amendment

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: _09/052,591___

Prior application information: Examiner __Werner, B.______________ Group No./Art Unit _2721______________

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Signature	[signature]	Date	September 13, 2000

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known	
Application Number	N/A
Filing Date	September 13, 2000
First Named Inventor	Daly et al.
Examiner Name	N/A
Group/ Art Unit	N/A
Attorney Docket No.	KLR 7146.095

TOTAL AMOUNT OF PAYMENT | 1,742

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number	03-1550
Deposit Account Name	Chernoff Vilhauer McClung ☐ Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 ☐ 1.17

2. ☒ Payment Enclosed

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ()	Small Entity Fee Code	Small Entity Fee ()	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	690

2. EXTRA CLAIM FEES

			Extra Claims		Fee from below		Fee Paid
Total Claims	61	-20 =	41	X	18	=	738
Indep. Claims	6	-3* =	3	X	78	=	234
Multiple Dependent						=	

or number of previously paid, if greater. For reissues, see below.

Large Entity Fee Code	Large Entity Fee ()	Small Entity Fee Code	Small Entity Fee ()	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	*Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) | 972

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ()	Small Entity Fee Code	Small Entity Fee ()	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
561	40	581	40	Recording each patent assignment per property (times number of properties)	80
146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1 129(a))	
149	690	249	345	For each additional invention to be examined (37 C F.R. 1.129(b))	
Other (specify)					
Other (specify)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) | 80

SUBMITTED BY				Complete (if applicable)	
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Signature				Date	September 13, 2000

METHOD FOR ADAPTING QUANTIZATION IN VIDEO CODING USING FACE DETECTION AND VISUAL ECCENTRICITY WEIGHTING

The present application is a continuation of co-pending patent application, Daly et al., Serial No. 60/071,099, filed January 9, 1998.

BACKGROUND OF THE INVENTION

The present invention relates to a system for encoding facial regions of a video that incorporates a model of the human visual system to encode frames in a manner to provide a substantially uniform apparent quality.

In many systems the number of bits available for encoding a video, consisting of a plurality of frames, is fixed by the bandwidth available in the system. Typically encoding systems use an ad hoc control technique to select quantization parameters that will produce a target number of bits for the video while simultaneously attempting to encode the video frames with the highest possible quality. For example, in digital video recording, a group of frames must occupy the same number of bits for an efficient fast-forward/fast-rewind capability. In video telephones, the channel rate, communication delay, and the size of the encoder buffer determines the number of available bits for a frame.

There are numerous systems that address the problem of how to encode video to achieve high quality while controlling the number of bits used. The systems are usually known as rate, quantizer, or buffer control techniques and can be generally classified into three major classes.

The first class are systems that encode each block of the image several times with a set of different quantization factors, measure the number of bits produced for each quantization factor, and then attempt to select a quantization factor for each block so that the total number

of bits for all the blocks total a target number. While generally accurate, such a technique is not suitable for real-time encoding systems because of its high computational complexity.

The second class are systems that measure the number of bits used in previously encoded image blocks, buffer fullness, block activity, and use all these measures to select a quantization factor for each block of the image. Such techniques are popular for real-time encoding systems because of their low computational complexity. Unfortunately, such techniques are quite inaccurate and must be combined with additional techniques to avoid bit or buffer overflows and underflows.

The third class are systems that use a model to predict the number of bits necessary for encoding each of the image blocks in terms of the block's quantization factor and other simple parameters, such as block variances. These models are generally based on mathematical approximations or predefined tables. Such systems are computationally simple and are suitable for real-time systems, but unfortunately they are highly sensitive to inaccuracies in the model itself.

Some rate control systems incorporate face detection. One of such systems, along with other systems that use face detection, is described below.

Zhou, U.S. Patent No. 5,550,581, discloses a low bit rate audio and video communication system that dynamically allocates bits among the audio and video information based upon the perceptual significance of the audio and video information. For a video teleconferencing system Zhou suggests that the perceptual quality can be improved by allocating more of the video bits to encode the facial region of the person than the remainder of the scene. In addition, Zhou suggests that the mouth area, including the lips, jaw, and cheeks, should be

allocated more video bits than the remainder of the face because of the motion of these portions. In order to encode the face and mouth areas more accurately Zhou uses a subroutine that incorporates manual initialization of the position of each speaker within a video screen. Unfortunately, the manual identification of the facial region is unacceptable for automated systems.

Kosemura et al., U.S. Patent No. 5,187,574, disclose a system for automatically adjusting the field of view of a television door phone in order to keep the head of a person centered in the image frame. The detection system relies on detecting the top of the person's head by comparing corresponding pixels in successive images. The number of pixels are counted along a horizontal line to determine the location of the head. However, such a head detection technique is not robust.

Sexton, U.S. Patent No. 5,086,480, discloses a video image processing system in which an encoder identifies the head of a person from a head-against-a-background scene. The system uses training sequences and fits a minimum rectangle to the candidate pixels. The underlying identification technique uses vector quantization. Unfortunately, the training sequences require the use of an anticipated image which will be matched to the actual image. Unfortunately, if the actual image in the scene does not sufficiently match any of the training sequences then the head will not be detected.

Lambert, U.S. Patent No. 5,012,522, discloses a system for locating and identifying human faces in video scenes. A face finder module searches for facial characteristics, referred to as signatures, using a template. In particular, the signatures searched for are the eye and nose/mouth. Unfortunately, such a template based technique is not robust to occlusions, profile changes, and variations in the facial characteristics.

Ueno et al., U.S. Patent No. 4,951,140, discloses a facial region detecting circuit that detects a face based on the difference between two frames of a video using a histogram based technique. The system allocates more bits to the facial region that the remaining region. However, such a histogram based technique may not necessarily detect the face in the presence of significant motion.

Moghaddam et al., in a paper entitled "An Automatic System for Model-Based Coding of Faces," IEEE Data Compression Conference, March 1995, discloses a system for two-dimensional image encoding of human faces. The system uses eigen-templates for template matching which is computationally intensive.

Eleftheriadis et al., in a paper entitled "Automatic Face Location Detection and Tracking for Model-Assisted Coding of Video Teleconferencing Sequences at Low Bit-Rates," Signal Processing: Image Communication 7 (1995), disclose a model-assisted coding technique which exploits the face location information of video sequences to selectively encode regions of the video to produce coded sequences in which the facial regions are clearer and sharper. In particular, the system initially differences two frames of a video to detect motion. Then the system attempts to locate the top of the head of a person by searching for a sequential series of non-zero horizontal pixels in the difference image, as shown in FIG. 11 of Eleftheriadis et al. A set of ellipses with various sizes and aspect ratios having their uppermost portion fixed at the potential location of the top of the head are fitted to the image data. Unfortunately, scanning the difference image for potential sequences of non-zero pixels is complex and time consuming. In addition, the system taught by Eleftheriadis et al. includes many design parameters that need to be selected for each particular system and video

sequences making it difficult to adapt the system for different types of video sequences and systems.

Glenn, in a chapter entitled "Real-Time Display Systems, Present and Future," from the book Visual Science Engineering, edited by O.H. Kelly, 1994, teaches a display system that varies the resolution of the image from the center to the edge, in the hope that the decrease in resolution would lead to a bandwidth reduction. The resolution decrease is accomplished by discarding pixel information to blur the image. The presumption in Glenn is that the observer is looking at the center of the display. The attempt was unsuccessful because although it was found that the observer's eyes tended to stay in the center one-quarter of the total image area, the resolution at the edges of the image could not be sufficiently reduced before the resulting blur was detectable.

Browder et al., in a paper entitled "Eye-Slaved Area-Of-Interest Display Systems: Demonstrated Feasible In The Laboratory," process video sequences using gaze-contingent techniques. The gaze-contingent processing is implemented by adaptively varying image quality within each video field, such that image quality is maximal in the region most likely to be viewed while being reduced in the periphery. This image quality reduction is accomplished by blurring the image or by introducing quantization artifacts. The system includes an eye tracker with a computer graphic flight simulator. Two image sequences are created. One sequence has a narrow field of view (19 or 25 degrees) with high resolution and the other sequence has a wide field of view (76 or 140 degrees) with low resolution. The two image sequences are combined optically with the high resolution sequence enslaved to the visual system's instantaneous center of gaze. To keep the boundary between the two regions from being distracting an arbitrary linear rolling off (blending) from the high resolution inset image to the

low resolution image is used. The use of an eye tracker in the system is unsuitable for inexpensive video telephones where such an eye tracker is not provided. In addition, the linear roll-off does not match the eye's sensitivity variation, resulting in either variable image quality, or unnecessary regions of high resolution.

Stelmach et al., in a paper entitled "Processing Image Sequences Based On Eye Movements," disclose a video encoding system that employs the concept of varying the visual sensitivity as a function of expected eye position. The expected eye position is generated by measuring a set of observers' eye movements to specific video sequences. Then the averaged eye movements are calculated for the set of observers. However, such a system requires measurements of the eye position which may not be available for inexpensive teleconferencing systems. In addition, it is difficult, if not impossible, to extend the system to an unknown image sequence thus requiring observer measurements for any image sequence the system is going to encode. Moreover, variation of the resolution is not an efficient technique for bandwidth reduction.

What is desired, therefore, is a video encoding system that automatically locates facial regions within the video and encodes the video in a manner that provides a uniform quality of the video to a viewer.

SUMMARY OF THE PRESENT INVENTION

The present invention overcomes the aforementioned drawbacks of the prior art by providing a system for encoding video that detects the location of a facial region of a frame of the video. Sensitivity information is calculated for each of a plurality of locations within the video based upon the location of the facial region. The frame is encoded in manner that provides a substantially uniform apparent quality of the plurality of locations to

the viewer when the viewer is observing the facial region of the video.

In one embodiment, the detection of the facial region includes receiving a first frame and a subsequent frame of the video, each of which including a plurality of pixels. A difference image is calculated representative of the difference between a plurality of the pixels of the first frame and a plurality of the pixels of the subsequent frame. A plurality of candidate facial regions are determined within the difference image, preferably based on a transform of the difference image in a spacial domain to a parameter space. The plurality of candidate facial regions are fitted to the difference image to select one of the candidate facial regions.

In another embodiment, the detection of the facial region includes fitting the candidate facial regions to the difference image to select one of the candidate facial regions based on a combination of at least two of the following three factors including, a fit factor representative of the fit of the candidate facial regions to the difference image, a location factor representative of the location of the candidate facial regions within the video, and a size factor representative of the size of the candidate facial regions.

In yet another embodiment, the sensitivity information is calculated for each of the plurality of locations within the video based upon both the location of the facial region within the video in relation to the plurality of locations and a non-linear model of the sensitivity of a human visual system.

In a further embodiment, a target bit value equal to a total number of bits available for encoding the frame is identified. The sensitivity information is calculated for each one of the blocks based upon the sensitivity of a human visual system observing a particular region of the

image. Quantization values for each of the multiple blocks are calculated to provide substantially uniform apparent quality of each of the blocks in the frame subject to a constraint that the total number of bits available for encoding the frame is equal to the target bit value. The blocks are encoded with the quantization values.

The foregoing and other objectives, features, and advantages of the invention will be more readily understood upon consideration of the following detailed description of the invention, taken in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of an exemplary embodiment of a face detection module of the present invention.

FIG. 2 is an example of location weightings for centers of the face detection module of FIG. 1.

FIG. 3 is an example of radii limits of the face detection module of FIG. 1.

FIG. 4 is an example of centers of considered ellipses of the face detection module of FIG. 1.

FIG. 5 is a block diagram of an exemplary embodiment of a visual model of the present invention.

FIG. 6 illustrates the relationship between the a distance on the display of a viewer's focus and the resulting visual angle of the viewer.

FIG. 7 illustrates an eccentricity in visual angle for each location as a function of the distance from the detected region boundary.

FIG. 8 illustrates an eccentricity versus location for a series of viewing distances.

FIG. 9 illustrates a set of visual sensitivity data sets for absolute sensitivity of the human visual system.

FIG. 10 illustrates the visual sensitivity as a function of pixel location.

FIG. 11 illustrates the resulting cross section of sensitivity values for an elliptical object.

FIG. 12 is an exemplary embodiment of a block diagram of a block-based image encoding system of the present invention.

FIG. 13 illustrates a set of quantization steps versus block number for one row of blocks in a frame.

FIG. 14 is an exemplary block diagram of an encoder including the face detection module of FIG. 1, the visual model of FIG. 5, and the block-based image encoding system of FIG. 12, of the present invention.

FIG. 15 is an exemplary block diagram of a decoder of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

In very low bit rate video teleconferencing systems, state-of-the-art coding techniques produce artifacts which are systematically present throughout coded images. The number of artifacts increases with both increased motion between frames and increased image texture. In addition, the artifacts usually affect all areas of the image without discrimination. However, viewers will mostly notice those coding artifacts in areas of particular interest to them. In particular, a viewer of a video teleconferencing system or video telephone will typically focus his or her attention to the face(s) of the person(s) on the screen, rather than to areas such as clothing or background. While fast motion may mask many coding artifacts, the human visual system has the ability to lock on and track particular moving objects, such as a person's face. Accordingly, communication between viewers of very low bit-rate video teleconferencing systems or video telephones will be intelligible and pleasing only when the

person's face and facial features are not plagued with an excessive amount of coding artifacts.

Referring to FIG. 1, a face detection module 10 receives frame i 12 and frame i+n 14, each consisting of a plurality of pixels. Frames i and i+n may be immediately successive frames or frames spaced apart by n frames. Frame i 12 is resized by a scale image block 16 to reduce its number of pixels. The pixel reduction reduces the computational requirements of the system by narrowing the search space. Frame i+n 14 is likewise resized by a scale image block 18 in the same manner as the scale image block 16.

A scale factor used by the scale image blocks 16 and 18 is variable so that the resulting number of pixels may be selected to provide sufficient image detail. When initially detecting a face within a sequence of images the scale factor is preferable twice (or any other suitable value) the scale factor used in subsequent calculations. Thus, when initially detecting a face the resulting image will include substantially more pixels than during subsequent tracking. This insures a good initial determination of the face location and reduces the computational requirements of subsequent tracking calculations since the initial location is used as a starting location to narrow the search space.

In many applications where a person's head is moving on a constant background, such as a video telephone, the movement of the head may be detected by subtracting two frames of the video from one another. The resulting non-zero values from the subtracted frames may be representative of motion, such as the head of a person. A difference image block 20 subtracts the scaled images from the scale image blocks 16 and 18 from one another to obtain a difference image 21. More particularly, the difference image 21 is obtained by: $d_{i+n}(k)=I_{i+n}(k)-I_i(k)$, where k is the spatial

location of the pixel in the resized image frame, l is the luminance, and the subscripts indicate the temporal location of the image frame.

A clean difference image block 22 attempts to remove undesirable non-zero pixels from the difference image 21 and add desirable non-zero pixels to the difference image 21. Initially, the clean difference image block 22 performs a thresholding operation on the difference image 21:

$$d_{i+n}^{th}(k) = \begin{cases} 0; & |d_{i+n}(k)| \le T \\ 1; & |d_{i+n}(k)| > T \end{cases}$$

where T is a predefined threshold value and $|.|$ denotes absolute value. The resulting thresholded image is represented by 1's and 0's. Thereafter, morphological operations are performed on the thresholded image which, for example count the number of non-zero pixels in a plurality of regions of the image. If the non-zero pixel count within a region is sufficiently small then all the pixels within that region are set to zero. This removes scattered noise within the thresholded image. Likewise, if the non-zero pixel count within a region is sufficiently large then all the pixels within that region are set to one. This enhances those regions of the image indicative of motion. The overall effect of the morphological operations is that scattered ungrouped non-zero pixels are set to zero and holes (indicated by zeros) in the grouped non-zero regions are set to one. The output from the clean difference image block 22 is a cleaned image 23.

Next, the facial regions are identified within the cleaned image. A face generally has an elliptical shape so the face detection module 10 adopts an ellipse as a model to represent a face within the image. Although the upper (hair) and lower (chin) areas in actual face outlines may have quite different curvatures, ellipses provide a good trade-off between model accuracy and parametric simplicity. Moreover, due to the fact that the elliptical information is

not actually used to regenerate the face outline, a small lack of model-fitting accuracy does not have any significant impact on the overall performance of the coding process.

An ellipse of arbitrary size and "tilt" can be represented by the following quadratic, non-parametric equation (implicit form):

$$ax^2 + 2bxy + cy^2 + 2dx + 2ey + f = 0$$

$$b2 - ac < 0$$

To reduce the computational requirements, the system initially identifies the top portion of a person's head with a model of a circle with an arbitrary radius and center. The top of the head has a predictable circular shape for most people so it provides a consistent indicator for a person. Decision block 25 branches to a select candidate circles block 24 if the initial determination of a face location for a sequence of video is necessary, such as a new video or scene of a video. The select candidate circles block 24 identifies candidate circles 27 as the top m peaks, where m is a preset parameter, in an accumulator array of a Hough transform of the image. A suitable Hough transform for circles is:

$$A(x_c,y_c,r)=A(x_c,y_c,r)+1 \;\forall\; x_c,y_c,r \;\varepsilon\; (x-x_c)^2+(y-y_c)^2=r^2$$

where $A(.,.,.)$ is the accumulator array and (x,y) are pixels in the cleaned difference image 23 which exceed the threshold. The Hough transform maps the image into a parameter space to identify shapes that can be parameterized. By mapping the cleaned difference image to a parameter space, the actual shapes corresponding to the transform can be identified, in contrast to merely looking for a series of pixels in the image space which does not accurately detect suitable curvatures of the face, as taught by Eleftheriadis.

A score candidate circles block 26 scores each of the candidate circles 27, in part, based on the fit of the cleaned difference image 23 to the respective candidate

circle 27. The fit criterion used is as follows. If C is a candidate circle 27, then let M_c be a mask such that,

$$M_c(k) = \begin{cases} 1; & k \text{ inside or on } C \\ 0; & \text{otherwise.} \end{cases}$$

A pixel k is on the circle contour, denoted C_i, if the pixel is inside or on the circle, and at least one of the pixels in its (2L+1) x (2L+1) neighborhood is not. A pixel k is on the circle border, denoted by C_e, if the pixel is outside the circle, and at least one of the pixels in its (2L+1) x (2L+1) neighborhood is either inside or on the circle. The normalized average intensities I_i and I_e are defined:

$$I_i = (1/|C_i|)\sum d_{i+n}{}^{th}(k) \quad \text{where } k \in c_i$$

and

$$I_e = (1/|C_e|)\sum d_{i+n}{}^{th}(k) \quad \text{where } k \in c_{ie}$$

where $|.|$ denotes cardinality. The measure of fit is then defined as:

$$R = (1+I_i)/(1+I_e)$$

A large value of R indicates a good fit of the data to the candidate circle 27. In contrast, a small value of R indicates a poor fit of the data to the candidate circle 27.

While the respective value of R provides a reasonable estimation of the appropriateness of the respective candidate circle 27, the present inventors came to the realization that video teleconferencing devices have implicit characteristics that may be exploited to further determine the appropriateness of candidate circles. In most video telephone applications the head is usually centrally located in the upper third of the image. Moreover, the size of the face is usually within a range of sizes and thus candidate circles that are exceedingly small or excessively large are not suitable. Accordingly, in addition to the fit data, the score candidate circles block 26 also examines the size and location of the circle. Referring to FIG. 2, the outer border region 40 of a display 38 is an unsuitable location for a center of a candidate circle 27, the central

upper third region 42 of the display 38 is a desirable location for the center of a candidate circle 27, and the remaining region 44 of the display 38 is acceptable. For example, the undesirable outer border region 40 may have a weighting factor of 0.25, the acceptable region 44 a weighting factor of 0.5, and the desirable central upper third region 42 a weighting of 1.0. Referring to FIG. 3, the radii of candidate circles 27 likewise have a similar distribution of suitability. A candidate circle 27 with a radius less than the small radii 50 is undesirable and may be given a weighting of 0.1. A candidate circle 27 with a radius between the small radii 50 and an intermediate radii 52 is desirable and may be given a weighting of 0.6. The remainder of the possible large candidate circle radii 53 are undesirable and may be given a weighting of 0.2. Any other suitable weighting factors may be used for the radii and locations.

The three parameters used to determine the suitability of a candidate circle are the fit of the candidate circle to the cleaned image data, the location of the candidate circle's center, and the size of the candidate circle's radii. Any suitable ratio of the three parameters may be used, such as (0.5)*fit+(.25)*center+(.25)*size. The candidate circles with the highest score are subsequently used as potential locations of the face in the image for matching with candidate ellipses to more accurately model the face. Using circles for the initial determination provides a fast computationally efficient technique for determining candidate face locations.

After the initial candidate circles are determined and scored, a generate candidate ellipses block 28 generates a set of potential ellipses 29 to be matched to the cleaned image 23 for each candidate circle with a sufficient score. Ellipses with a center in the region around the center of the suitable candidate circle and a set of radii in the

general range of that of the respective candidate circle are considered. Referring to FIG. 4, the centers of considered ellipses include a set of ellipse centers 31 in a range in the horizontal direction and the vertical direction about the center 33 of the respective candidate circle. The range of candidate ellipse centers in the vertical direction, "Y," is greater than the range of candidate ellipse centers in the horizontal direction, "X." The reason for the increased variability in the vertical direction is because faces tend to have an elliptical shape in the vertical direction, so increased variability in the vertical direction of the center of the candidate ellipse permits a better fit to the actual face location. In contrast, faces tend not to vary much in the horizontal direction so less variability is necessary. In other words, based on the location of initial second candidate circles there is more confidence in the centers in the horizontal direction than the vertical direction. This difference in variability helps reduce the number of candidate ellipses considered which reduces the computational requirements of the system.

Preferably, a set of candidate ellipses for each circle center are considered with centers within a region 47 around the circle center 33 and having radii somewhat less than and greater than the radii of the circle.

The candidate ellipses 29 from the generate candidate ellipses block 28 are then scored by the score candidate ellipses block 32 which scores the candidate ellipses 29 using the same fit criteria as the score candidate circles block 26, except that an elliptical mask is used instead of a circular one. The score candidate ellipses block 32 may additionally use the center location of the ellipse and its radii's as additional parameters, if desired.

The candidate ellipse 39 with the highest score is then output 41 by an output top candidate block 34 to the

remainder of the system. The parameters provided by the output top candidate block 34 are:

center x	horizontal location of ellipse center
center y	vertical location of ellipse center
radius x	x axis radius of ellipse
radius y	y axis radius of ellipse
angle θ	tilt.

The tilt parameter is optional.

An alternative is to use circles throughout the face detection module 10 and remainder of the system as being sufficient matches to a face and output the parameters of the circle. The parameters of a circle are:

center x	horizontal location of circle center
center y	vertical location of circle center
radius	radius of circle.

It is to be understood that other parameters of the ellipse or circle may likewise be provided, if desired, such as a diameter which is representative of its respective radius.

To track the face between successive frames, another two frames of the video are obtained and cleaned by the face detection module 10. The initial determination block 25 determines that the initial face location has been determined and passes the cleaned image 23 from the clean difference image block 22 to a select candidate ellipses block 30. The select candidate ellipses block 30 determines a set of potential ellipses based on the previous top candidate ellipse 41 from output top candidate block 34. The set of potential ellipses is selected from a substantially equal range of centers in both the horizontal and vertical directions. There is no significant reason to include the variability of the generate candidate ellipses block 28 used for the initial face position because the location of the face is already determined and most likely has not moved much. Subsequent tracking involves following

the motion of the head itself where motion is just as likely in either the vertical and the horizontal directions, as opposed to a determination of where the head is based on an inaccurate circular model. The radii selected for the candidate ellipses (x and y) are likewise in a range similar to the previous top candidate ellipse 41 because it is unlikely that the face has become substantially larger or substantially smaller between frames that are not significantly temporally different. Reducing the difference in variability between the horizontal and vertical directions reduces the computational requirements that would have been otherwise required.

The candidate ellipses from the select candidate ellipses block 30 are passed to the score candidate ellipses block 32, as previously described. The output top candidate block 34 outputs the candidate ellipse with the highest score. The result is that after the initial determination of the face location the face detection module 10 tracks the location of the face with the video.

The face detection module 10 may be extended to detect multiple faces. In such a case the output top candidate block 34 would output a set of parameters for each face detected.

Alternative face detection techniques may be used to determine the location of the face within an image. In such a case the output of the face detection module is representative of the location of the face and its size within a video.

If desired, a gaze detection module which detects the actual viewer's eye position may be used to determine the location of the region of interest to the viewer, within a video. This may or may not be a face.

The present inventors came to the realization that the human eye has a sensitivity to image detail that is dependant on the distance to the particular pixels of the

image and the visual angle to the particular pixels of the image. Referring to FIG. 5, the system includes a non-linear visual model 60 of the human eye to determine appropriate weighting for each of the pixels or regions of the image.

The visual model 60 calculates the sensitivity of the human eye versus the location within the image. Referring to FIG. 6, the visual model 60 initially determines the relationship between a distance 62 on the display 38 of the viewers focus 64 and the resulting visual angle 66 of the viewer 68 to the end of the distance 62. The visual angle 66 will depend on the anticipated viewing distance of the viewer. The angular relationship is preferably specified in multiples of image heights or pixel heights, as opposed to absolute distances. The angular relationship is also preferably set for the particular system based upon the expected viewing distance and particular display 38. Alternatively, the angular relationship could be determined by a sensor determining the viewing distance together with information regarding the particular display 38.

Referring to FIGS. 5 and 7, the visual model 60 calculates at block 62 an eccentricity in visual angle for each pixel, location, or region as a function of the distance 63 from the detected region boundary 65 of the face from the output 41 of the output top candidate block 34. The pixel distance from the region boundary is:

where 0_e is the eccentricity in units of visual angle, y is the vertical pixel position in the image frame, and x is the horizontal pixel position. The following four parameters are the outputs from the face detection module 10: y_c, c_x, y_r, and x_r, where x_c and y_c are the (x,y) center positions of the selected ellipse in the frame, and x_r and y_r are the elliptical radii in the horizontal and vertical directions, respectively (i.e., the horizontal and vertical minor and major axes are $2x_r$ and $2y_r$, respectively). V is the viewing distance in the units of pixel distances, (e.g., in viewing an image with a height of 512 lines of pixels with a viewing distance of 2 picture heights, V=2*512=1024).

Referring to FIG. 8, a graph of the eccentricity (in visual angle) for a single pixel location for a series of viewing distances, from 1 image height to 6 image heights, is shown. The viewing location is the center of a 640 by 480 pixel display. For example, a viewer at a distance of 6 image heights 70 observes 6 degrees eccentricity in comparison to a larger 35 degrees of eccentricity at a distance of 1 image height 72 when looking at the edge of the display 38. It is noted that x_R and y_R are both zero in FIG. 8.

The visual angle of the viewer to each pixel of the image is then used as a basis of calculating, at block 63, the viewer's sensitivity to each pixel or block based on a non-linear model of the human visual system. Referring to FIG. 9 and the eccentricity calculation of FIG. 8, a set of measured data sets 80 and 82 (actual data) for absolute sensitivity of the human visual system is obtained across all frequencies. The data sets 80 and 82 are used to determine the maximum sensitivity to the frequency response of the human visual system. A Cortical Magnification Function (CMF) (shown below) fits the data well and provides data set 84, which is a function of how many brain cells are allocated to each visual field location. In essence, FIG. 9

illustrates a non-linear actual model of the sensitivity of the human visual system as a function of eccentricity. The sensitivity can be normalized for use in general rate control or an absolute value where visually lossless quality is needed. Applying the sensitivity data of FIG. 9 to a pixel image results in an image of the same size as the original pixel image (or of a macro block sampled image) and gives the visual sensitivity as a function of pixel location, as shown in FIG. 10. The CMF equation governing data set 84 FIG. 10 is:

where S is the visual sensitivity, K_{ECC} is a constant (preferred value is 0.24), and θ_E is the eccentricity in visual angle as given in the CMF equation. The CMF equation is referred to as the Cortical Magnification Function. The result is a sensitivity image, or map, that can be determined at any desired resolution with respect to the starting image sequence. The CMF equation may also be applied to the image where the viewer is observing any arbitrary location 90, resulting in different sensitivity values for the pixels. In the preferred embodiment, the location 90 is the top candidate ellipse 41 for the particular frame.

FIG. 11, illustrates the resulting cross section of the sensitivity values for an elliptical object with a radius of 100, centered at position 96 (solid line) and at position 98 (dashed line). It is also possible to use the visual weighting of the image for multiple elliptical (or other shapes) regions of importance. It is noted that the cross sectional region of the indicated facial region is constant, namely 1.

It is to be understood that other non-linear models based on the actual human visual system may likewise

be used to associate sensitivity information with pixels, locations, or regions of an image.

The visual model 60 produces sensitivity information as a function of the location within the image in relation to a model of the human visual system. The values preferably range from 0 to 1, where 1 is the most sensitive. Referring again to FIG. 1, the image has a sensitivity associated with each region, block, or pixel of the image. The video frame 14 needs to be encoded by an encoder 100 and then stored or transmitted with a pre-selected target number of bits, suitable for the particular system. The following description is based on a typical block-based image encoder 100, but it is to be understood that any other encoder may likewise be used, such as a region or pixel based encoder.

Referring to FIG. 12, in a block-based image encoding system, such as MPEG-1, MPEG-2, H.261, and H.263, the image (frame) to be encoded is decomposed into a plurality of image blocks 101 of the same size, typically of 16x16 pixels per block. The pixel values of each block are transformed by a block transform 102 into a set of coefficients, preferably by using a Discrete Cosine Transform (DCT). The resulting coefficients are quantized by a block quantizer 104 and then encoded by a coder 106.

The quantization of the transformed coefficients determines the quality of the encoding of each image block 101. The quantization of the ith image block 101 is controlled by only one parameter, Q_i, within the block quantizer 104. In the H.261 and the H.263 video encoding standards, Q_i is referred to as the quantization step for the ith block and its value corresponds to half the step size used for quantizing the transformed coefficients. In the MPEG-1 and the MPEG-2 standards, Q_i is referred to as the quantization scale and the jth coefficient of a block is quantized using a quantizer of step size $Q_i w_j$, where w_j is

the jth value of a quantization matrix selected by the designer of the MPEG codec. The H.261, H.263, MPEG-1, and MPEG-2 standards are incorporated by reference herein.

The number of bits produced when encoding the ith image block, B_i, is a function of the value of the quantization parameter Q_i and the statistics of the block. If Q_i is small, the image block is quantized more accurately and the image block quality is higher, but such a fine quantization produces a large number of bits (large B_i) for the image block. Coarser quantization (large Q_i) produces a fewer number of bits (small B_i) but the image quality is also lower.

In image coding, the image blocks are said to be intracoded, or of class intra. In video encoding, many of the blocks in a frame are similar to corresponding blocks in previous frames. Video systems typically predict the value of the pixels in the current block from previously encoded blocks and only the difference or prediction error is encoded. Such predicted blocks are said to be intercoded, or of the class inter. The techniques described herein are suitable for intra, inter, or both intra and inter blocks encoding techniques.

Referring to FIG. 13, a set of quantization steps Q_j versus block number j for one row of blocks in a frame is shown. There are three different video coding strategies discussed below. Each technique is first briefly discussed then the latter two are discussed in greater detail.

FIRST VIDEO CODING STRATEGY

The first strategy is represented by line 120, which uses the same quantization value Q for all the blocks in the row. This may be referred to as the fixed-Q method. The resulting number of bits to encode the row of blocks is referred to as B.

SECOND VIDEO CODING STRATEGY

The second strategy is represented by the staircased line 122. Q_j is set to Q for the block closest to the location where the system has determined that the viewer is observing, such as the face region. In FIG. 13, the viewing location is shown as the middle of the row. Q_j's are selected to be larger than Q for blocks farther from the center. Since all the quantization steps are as large as or larger than those for the fixed-Q strategy 120, the staircased line 122 technique will encode the blocks in the row with fewer bits. The resulting number of bits necessary to encode the row of blocks using the staircased line 122 technique is referred to as B', where B'<B. With the proper selection of Q_j for each block the image quality will appear uniform to the human eye, as described in detail below. Accordingly, the perceived quality of the encoded images using line 120 or line 122 will be the same, but, as mentioned above, using line 122 will produce fewer bits.

THIRD VIDEO CODING STRATEGY

If the quantization steps of line 122 are reduced by a constant, the number of bits necessary to encode the blocks will be greater than B'. The staircase line 124 represents the steps Q_j' used for encoding the blocks resulting in the same number bits B as the line 120. The blocks of the entire row will be perceived by the viewer as having the same image quality, with the proper selection of the Q_j' values. The center is quantized with step size of Q'<Q, resulting in the image quality at the center having a better quality than the fixed-Q technique. Hence, the perceived image quality to the viewer of the entire row, which is substantially uniform, will be higher than the fixed-Q case, even though both techniques use the same number of bits B. The objective of the staircased line 124 is to find the proper Q_j' values automatically, so that the

pre-selected target number of bits (in this case B) is achieved.

DETAILS OF SECOND STRATEGY

The present inventor came to the realization that a coarser quantization on image blocks to which the viewer is less sensitive can be performed without affecting the perceived image quality. In fact, when encoding digital video, the quantization factor can be increased according to the sensitivities of the human visual system and thereby decrease the number of bits necessary for each frame. In particular, if the entire N blocks of the image are quantized and encoded with quantization steps:

$$Q/S_1,\ Q/S_2, \ldots Q/S_N, \qquad \text{Equation 1}$$

respectively, where S_k is the sensitivity associated to the kth block, the perceived quality of the encoded frame will be the same as if all the blocks were quantized with step size Q. Since the S_k's are smaller than or equal to 1, the resulting quantizers in Equation 1 will be as large as or larger than Q, and therefore will produce fewer bits when encoding a given frame.

To summarize, the result of such an encoding scheme where the sensitivities are representative of the perceived image quality based on a model of the human visual system and varying the quantization factor with respect to the sensitivity information, provides an image that has a perceived uniform quality. This also provides a minimum bit rate with the uniform quality.

The following steps may be used to reduce the number of bits for a video frame using a preselected base quantization step size Q.

STEP 1. Initially set k equal to 1.

STEP 2. Find the maximum value of the sensitivity for the pixels in the kth block, S_k,

$$S_k = \max(S_{k,1}, S_{k,2}, S_{k,3}, \ldots S_{k,L}) \qquad \text{Equation 2}$$

where $S_{k,i}$ is the sensitivity for the ith pixel in the kth block. Alternatively, the maximum operation could be replaced by any other suitable evaluation of the sensitivities of a block, such as the average of the sensitivities.

STEP 3. Encode the kth block with a quantizer of step size Q/S_k.

STEP 4. If $k<N$, then let $k=k+1$ and go to step 1. Otherwise stop.

DETAILS OF THIRD STRATEGY

In many system the total number of bits available for encoding a video frame is often set in advance by the user or the communication channel. Consequently, some rate or quantizer control strategy is necessary for selecting the value of the quantization steps so that the frame target is achieved as suggested by line 124 of FIG. 13. In other words, selecting the number of bits results in the aforementioned base Q likely not matching the available bandwidth.

A model for the number of bits invested in the ith image block is:

Equation 3

where Q_i is the quantizer step size or quantization scale, A is the number of pixels in a block (e.g., in MPEG and H.263 $A=16^2$ pixels), K and C are model parameters (described below). σ_i is the empirical standard deviation of the pixels in the block, and is defined as:

Equation 4

with $P_i(j)$ the value of the jth pixel in the ith block and P_i is the average of the pixel values in the block. P_i is defined as,

Equation 5

For a color image, the $P_i(j)$'s are the values of the luminance and chrominance components for the block pixels. The model of Equation 3 was derived using a rate-distortion analysis of the block's encoder and is discussed in greater detail in co-pending United States Patent Application Serial No. 09/008,137, filed January 16, 1998, incorporated by reference herein.

K and C are model parameters. K depends on the encoder efficiency and the distribution of the pixel values, and C is the number of bits for encoding overhead information (e.g., motion vectors, syntax elements, etc.). Preferably, the values of K and C are not known in advance and are estimated during encoding.

The objective of the third technique is to find the value of the quantization steps that satisfy the following two conditions:

(1) the total number of bits produced for the image is a pre-selected target B; and

(2) the overall image quality is perceived as homogenous, constant, or uniform.

Let N be the number of blocks in the video frame. The first condition in terms of the encoder model is:

Equation 6

As described in relation to the second strategy, the second condition is satisfied by a set of quantizers,

Equation 7

where $(Q'/S_k)=Q_k$ is the quantization step of the kth block, but now Q' is not known.

Combining Equations 6 and 7 the following equation is obtained:

Equation 8

The following expression for Q' is obtained from Equation 8.

Equation 9

Equation 9 is the basis for the preferred rate control technique, described below.

The quantizers for encoding the N image blocks in a frame are preferably selected with the following technique.

STEP 1. Initialization. Let i=1 (first block), B_1=B (available bits), N_1=N (number of blocks). Let

Equation 10

where σ_k and S_k are defined in equations 4 and 2, respectively. If the values of the parameters K and C in the encoder model are known or estimated in advance, e.g., using linear regression, let K_1=K and C_1=C. If the model parameters are not known, set K_1 and C_1 to some small non-negative values, such as K_1=0.5 and C_1=0 as initial estimates. In video coding, one could set K_1 and C_1 to the values K_{N+1} and C_{N+1}, respectively, from the previous encoded frame, or any other suitable value.

STEP 2. The quantization parameter for the ith block is computed as follows:

Equation 11

If the values of the Q-parameters are restricted to a fixed set (e.g., in H.263, Q_i=2QP and QP takes values in {1,2,3,...,31,}, round Q_1 to the nearest value in the set. The square root operation can be implemented using look-up tables.

STEP 3. The ith block is encoded with a block-based coder, such as the coder of FIG. 12. Let B_i' be the number of bits used to encode the ith block, compute

Equation 12

STEP 4. The parameters K_{i+1} and C_{i+1} of the coder model are updated. For the fixed mode K_{i+1}=K and C_{i+1}=C. For the adaptive mode, K_{i+1} and C_{i+1} are determined using any suitable technique for model fitting. For example, one could use the model fitting techniques in co-pending United States Patent Application, Serial No. 09/008,137, incorporated by reference herein.

STEP 5. If i=N, stop (all image blocks are encoded). If not, i=i+1 and go to Step 2.

ENCODER SYSTEM

Referring to FIG. 14, an encoder system 200 includes an input image sequence 202 which is passed to the encoder 100 and a sub-sample block 204 which decomposes the image sequence 202 into macro-blocks. The macro-blocks from the sub-sample block 204 are passed to the visual model 60 and the face detection module 10. An optional gaze direction measurement block 206 detects the location of the gaze of the viewer. The output from either the measurement block 206 or detection module 10 is passed to the visual model 60 and optionally to an encode gaze parameters block 208. Calibration parameters for the pixel size and/or viewing distance are provided to the visual model 60 and the encode gaze parameters block 208 by a calibration block 210. The visual model 60 provides its sensitivity output to the encoder 100. The encoder 100 thereafter transmits encoded data to a storage device or a decoder 300.

Referring to FIG. 15, the decoder 300 decodes the gaze parameters with a decode gaze parameters block 302. A visual model block 304 calculates both eccentricity versus image location and sensitivity versus eccentricity. The visual model block 304 provides quantization parameters to the decode data block 306 which decodes the encoded data

based on the quantization parameters. An inverse transform block 308 decomposes the data from the decode data block 306 to obtain a decompressed image sequence 310 for use, such as being displayed on a display.

The terms and expressions which have been employed in the foregoing specification are used therein as terms of description and not of limitation, and there is no intention, in the use of such terms and expressions, of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims which follow.

CLAIMS

1. A method of detecting a facial region within a video comprising the steps of:

(a) receiving a first frame of said video comprising a plurality of pixels;

(b) receiving a subsequent frame of said video comprising a plurality of pixels;

(c) calculating a difference image representative of the difference between a plurality of said pixels of said first frame and a plurality of said pixels of said subsequent frame;

(d) determining a plurality of candidate facial regions within said difference image based on a transform of said difference image in a spacial domain to a parameter space; and

(e) fitting said plurality of candidate facial regions to said difference image to select one of said candidate facial regions.

2. The method of claim 1 further comprising the step of thresholding said difference image thereby removing values of said difference image less than a threshold value.

3. The method of claim 2 wherein said threshold value is a predetermined value and said removing values is setting said values of said difference image that are less than said threshold value to a selected value.

4. The method of claim 1 wherein said transform is a Hough transform.

5. The method of claim 4 wherein said Hough transform is
$A(x_c,y_c,r) = A(x_c,y_c,r)+1 \ \forall \ x_c,y_c,r \ \varepsilon \ (x-x_c)^2+(y-y_c)^2=r^2$.

6. The method of claim 1 where said fitting of each of said candidate facial regions is based on a combination of at least three factors including, a fit factor representative of a fit of said candidate facial regions to said difference image, a location factor representative of the location of said candidate facial regions within said video, and a size factor representative of the size of said candidate facial regions.

7. The method of claim 1 further comprising the step of scaling said first frame and said subsequent frame of said video to reduce the number of said pixels of said first and subsequent frame prior to said calculating said difference frame.

8. The method of claim 1 wherein said step of determining said plurality of said candidate facial regions and fitting said plurality of said candidate facial regions further comprises the steps of:

(a) determining a set of candidate circles based on a Hough transform of said difference image;

(b) scoring said set of said candidate circles based on a combination of at least three factors including, a fit factor representative of the fit of said candidate circles to said difference image, a location factor representative of the location of said candidate circles within said video, and a size factor representative of the size of said candidate circles;

(c) selecting at least one of said candidate circles based on said scoring;

(d) generating at least one candidate facial region having an elliptical shape for each of said at least one of said candidate circles; and

(e) scoring each of said candidate facial regions based on a combination of at least three factors including, a fit factor representative of the fit of a respective said candidate facial region to said difference image, a location factor representative of the location of said respective said candidate facial region within said video, and a size factor representative of the size of said respective said candidate facial region.

9. The method of claim 8 wherein said generating at least one candidate facial region has a center of said elliptical shape located within a bounded region of potential locations having a greater vertical dimension than a horizontal dimension centered about the center of said respective said candidate circle.

10. A method of detecting a facial region within a video comprising the steps of:

(a) receiving a first frame of said video comprising a plurality of pixels;

(b) receiving a subsequent frame of said video comprising a plurality of pixels;

(c) calculating a difference frame representative of the difference between a plurality of said pixels of said first frame and a plurality of said pixels of said subsequent frame;

(d) determining a plurality of candidate facial regions within said difference frame; and

(e) fitting said candidate facial regions to said difference image to select one of said candidate facial regions based on a combination of at least two of the following three factors including, a fit factor representative of the fit of said candidate facial regions to said difference image, a location factor representative of the location of said candidate facial regions within said video, and a size factor representative of the size of said candidate facial regions.

11. The method of claim 10 where said determining said candidate facial regions is based on a Hough transform of said difference image in a spacial domain to a parameter space.

12. The method of claim 11 wherein said Hough transform is

$A(x_c, y_c, r) = A(x_c, y_c, r)+1 \ \forall \ x_c, y_c, r \ \varepsilon \ (x-x_c)^2+(y-y_c)^2=r^2.$

13. The method of claim 10 further comprising the step of thresholding said difference image thereby removing values of said difference image less than a threshold value.

14. The method of claim 10 further comprising the step of scaling said first frame and said subsequent frame of said video to reduce the number of said pixels of said first and subsequent frame prior to said calculating said difference frame.

15. A method of determining sensitivity information for a video comprising the steps of:

(a) receiving a first frame of said video;

(b) receiving a subsequent frame of said video;

(c) determining a location of a facial region within said video based on said first and subsequent frames; and

(d) calculating a sensitivity value for each of a plurality of locations within said video based upon both said location of said facial region within said video in relation to said plurality of locations and a non-linear model of the sensitivity of a human visual system.

16. The method of claim 15 wherein the step of said calculating said sensitivity values is further based upon calculating an eccentricity versus image location in relation to a viewer of said video for said plurality of locations within said video.

17. The method of claim 16 wherein said calculating said sensitivity is further based upon a sensitivity versus eccentricity non-linear model of said human visual system.

18. The method of claim 16 wherein said eccentricity is derived according to the following,

where θ_E is said eccentricity, y is a vertical pixel position within said video, x is a horizontal position within said video, x_c represents a horizontal component of a center position of an elliptical said facial region, y_c represents a vertical component of said center position of said elliptical said facial region, x_r represents a first elliptical radii of said elliptical said facial feature in a horizontal direction; y_r represents a second elliptical radii of said elliptical said facial feature in a vertical direction, and V represents a viewing distance.

19. The method of claim 15 wherein said sensitivity values are based upon the distance from the outer edge of said facial region to said plurality of locations within said video.

20. The method of claim 17 wherein said sensitivity versus eccentricity non-linear model is derived according to the following,

where S is representative of said sensitivity, k_{ECC} is a constant, and θ_E is representative of a non-linear contrast sensitivity function.

21. A method of encoding a video comprising the steps of:

(a) receiving a frame of said video consisting of a plurality of pixels;

(b) calculating sensitivity information for a plurality of locations within said video calculated based upon the sensitivity of a human visual system of a viewer observing a particular region of said video; and

(c) encoding said frame in a manner that provides a substantially uniform apparent quality of said plurality of locations to said viewer when said viewer is observing said particular region of said video.

22. The method of claim 21 wherein said encoding of each of said plurality of locations is based on a respective quantization value representative of a base quantization factor divided by said sensitivity information for a respective one of said plurality of locations.

23. The method of claim 22 wherein said encoding is derived in accordance with the following:

$$Q/S_1,\ Q,S_2,\ Q/S_3,..,Q/S_N$$

where Q is representative of said base quantization factor, and S_1 through S_N are representative of said sensitivity information for said plurality of locations.

24. The method of claim 23 wherein one of said S_k, where k is a value from 1 to N, is derived based upon a statistical calculation of a plurality of said sensitivity information for one of said locations of said image.

25. The method of claim 24 wherein S_k is an average of said plurality of said sensitivity information.

26. The method of claim 24 wherein S_k is a maximum of said plurality of said sensitivity information.

27. The method of claim 21 wherein said encoding said frame results in the total number of bits produced for said frame being substantially equal to a preselected number.

28. The method of claim 27 wherein said frame is encoded only once.

29. The method of claim 27 wherein said encoding of each of said plurality of locations is based on a respective quantization value representative of a base quantization factor divided by said sensitivity information for a respective one of said plurality of locations.

30. The method of claim 29 wherein said base quantization factor is derived in accordance with the following:

where A is representative of the number of pixels in one of said plurality of locations, K and C are constants associated with said plurality of locations, N is representative of the number of said plurality of locations, B is representative of said total number of bits, the σ_i^2 values are a measure how much texture is associated with said plurality of locations, and the S_i^2 values are representative of the respective said sensitivity information squared.

31. A method for encoding multiple blocks in a frame of image data, comprising:

(a) identifying a target bit value equal to a total number of bits available for encoding the frame;

(b) calculating sensitivity information for each one of the blocks based upon the sensitivity of a human visual system observing a particular region of the image;

(c) adapting quantization values for each of the multiple blocks to provide substantially uniform apparent quality of each of the blocks in the frame subject to a constraint that the total number of bits available for encoding the frame is equal to the target bit value; and

(d) encoding the blocks with the quantization values.

32. The method of claim 31 wherein the quantization values are derived according to the following,

where, Q_i is the quantization value for each block i, N is the number of blocks in the frame, B is the total number of bits available for encoding the frame, A is a number of pixels in each of the multiple blocks, K and C are constants associated with the image blocks, σ_i is an empirical standard deviation of pixel values in the block, and S_i is a weighting incorporating the sensitivity information for the block.

33. The method of claim 31 including adjusting the quantization values according to a number of image blocks remaining to be encoded, a number of bits still available for encoding the remaining image blocks, and a value that depends on the sensitivity and texture of the remaining image blocks.

34. The method of claim 32 including using a K parameter and a C parameter on a block-by-block basis to adjust the quantization values for each of the multiple blocks, the K parameter modeling correlation statistics of the pixels in the image blocks and the C parameter modeling bits required to code overhead data.

35. The method of claim 34 including deriving the optimum quantization values in either a fixed mode where the K and C parameters are known in advance or an adaptive mode where the K and C parameters are derived according to the K and C parameters of previously encoded blocks.

36. The method of claim 35 wherein the adaptive mode includes the following steps:

(a) deriving values for the K and C parameters that exactly predict the number of bits B used for encoding previous blocks;

(b) deriving averages for the derived K and C parameters for the previously encoded video blocks; and

(c) predicting the K and C parameters for a next video block by weighting the average K and C parameters according to the initial estimates for the K and C parameters.

~~3~~7. A method for encoding video comprising the steps of:

(a) detecting the location of a facial region of a frame of said video;

(b) calculating a sensitivity value for each of a plurality of locations within said video based upon said location of said facial region; and

(c) encoding said frame in manner that provides a substantially uniform apparent quality of said plurality of locations to said viewer when said viewer is observing said facial region of said video.

38. The method of claim 37 wherein said sensitivity values are calculated based upon said location of said facial region, a size of said facial region, and a non-linear model of the sensitivity of a human visual system.

39. The method of claim 37 wherein said detecting said location of said facial region of said frame further comprises the steps of:

(a) receiving a first frame of said video comprising a plurality of pixels;

(b) receiving a subsequent frame of said video comprising a plurality of pixels;

(c) calculating a difference image representative of the difference between a plurality of said pixels of said first frame and a plurality of said pixels of said subsequent frame;

(d) determining a plurality of candidate regions within said difference image; and

(e) fitting said plurality of candidate regions to said difference image to select said facial region.

40. The method of claim 39 wherein said determining said plurality of candidate regions is based on a Hough transform of said difference image in a spacial domain to a parameter space.

41. The method of claim 39 further comprising the step of thresholding said difference image thereby removing values of said difference image less than a threshold value.

42. The method of claim 41 wherein said threshold value is a predetermined value and said removing values is setting said values less than said threshold value to a selected value.

43. The method of claim 40 wherein said Hough transform is
$A(x_c,y_c,r) = A(x_c,y_c,r)+1 \ \forall \ x_c,y_c,r \ \varepsilon \ (x-x_c)^2+(y-y_c)^2=r^2$.

44. The method of claim 39 where said fitting of each of said candidate regions is based on a combination of at least two of the following three factors including, a fit factor representative of a fit of said candidate regions to said difference image, a location factor representative of the location of said candidate regions within said video, and a size factor representative of the size of said candidate regions.

45. The method of claim 39 further comprising the step of scaling said first frame and said subsequent frame of said video to reduce the number of said pixels of said first and subsequent frame prior to said calculating said difference frame.

46. The method of claim 39 wherein said step of determining said plurality of said candidate regions and fitting said plurality of said candidate regions further comprises the steps of:

(a) determining a set of candidate circles based on a Hough transform of said difference image;

(b) scoring said set of said candidate circles based on a combination of at least three factors including, a fit factor representative of the fit of said candidate circles to said difference image, a location factor representative of the location of said candidate circles within said video, and a size factor representative of the size of said candidate circles;

(c) selecting at least one of said candidate circles based on said scoring;

(d) generating at least one candidate region having an elliptical shape for each of said at least one of said candidate circles; and

(e) scoring each of said candidate regions based on a combination of at least three factors including, a fit factor representative of the fit of a respective said candidate region to said difference image, a location factor representative of the location of said respective said candidate region within said video, and a size factor representative of the size of said respective said candidate region.

47. The method of claim 46 wherein said generating at least one candidate region has a center of said elliptical shape located within a bounded region of potential locations having a greater vertical dimension than a horizontal dimension centered about the center of said respective said candidate circle.

48. The method of claim 38 wherein said wherein the step of said calculating said sensitivity values is further based upon calculating an eccentricity versus image

location in relation to a viewer of said video for said plurality of locations within said video.

49. The method of claim 48 wherein said eccentricity is derived according to the following,

where θ_E is said eccentricity, y is a vertical pixel position within said video, x is a horizontal position within said video, x_c represents a horizontal component of a center position of an elliptical said facial region, y_c represents a vertical component of said center position of said elliptical said facial region, x_r represents a first elliptical radii of said elliptical said facial feature in a horizontal direction; y_r represents a second elliptical radii of said elliptical said facial feature in a vertical direction, and V represents a viewing distance.

50. The method of claim 38 wherein said sensitivity values are based upon the distance from the outer edge of said facial region to said plurality of locations within said video.

51. The method of claim 38 wherein said sensitivity versus eccentricity non-linear model is derived according to the following,

where S is representative of said sensitivity, k_{ECC} is a constant, and θ_E is representative of a non-linear contrast sensitivity function.

52. The method of claim 37 further comprising the step of encoding said frame in manner that provides a substantially uniform apparent quality of said plurality of locations to said viewer when said viewer is observing said facial region of said video.

53. The method of claim 37 wherein said encoding of each of said plurality of locations is based on a respective quantization value representative of a base quantization factor divided by said sensitivity information for a respective one of said plurality of locations.

54. The method of claim 53 wherein said encoding is derived in accordance with the following:

$$Q/S_1,\ Q,S_2,\ Q/S_3,..,Q/S_N$$

where Q is representative of said base quantization factor, and S_1 through S_N are representative of said sensitivity information for said plurality of locations.

55. The method of claim 54 wherein one of said S_k, where k is a value from 1 to N, is derived based upon a statistical calculation of a plurality of said sensitivity information for one of said locations of said image.

56. The method of claim 55 wherein S_k is an average of said plurality of said sensitivity information.

57. The method of claim 55 wherein S_k is a maximum of said plurality of said sensitivity information.

58. The method of claim 52 wherein said encoding said frame results in the total number of bits produced for said frame being substantially equal to a preselected number.

59. The method of claim 58 wherein said frame is encoded only once.

60. The method of claim 58 wherein said encoding of each of said plurality of locations is based on a respective quantization value representative of a base quantization factor divided by said sensitivity information for a respective one of said plurality of locations.

61. The method of claim 60 wherein said base quantization factor is derived in accordance with the following:

where A is representative of the number of pixels in one of said plurality of locations, K and C are constants associated with said plurality of locations, N is representative of the number of said plurality of locations, B is representative of said total number of bits, the σ_i^2 values are a measure how much texture is associated with said plurality of locations, and the S_i^2 values are representative of the respective said sensitivity information squared.

METHOD FOR ADAPTING QUANTIZATION IN VIDEO CODING USING FACE DETECTION AND VISUAL ECCENTRICITY WEIGHTING

ABSTRACT

A system encodes video by detecting the location of a facial region of a frame of the video. Sensitivity information is calculated for each of a plurality of locations within the video based upon the location of the facial region. The frame is encoded in manner that provides a substantially uniform apparent quality of the plurality of locations to the viewer when the viewer is observing the facial region of the video.

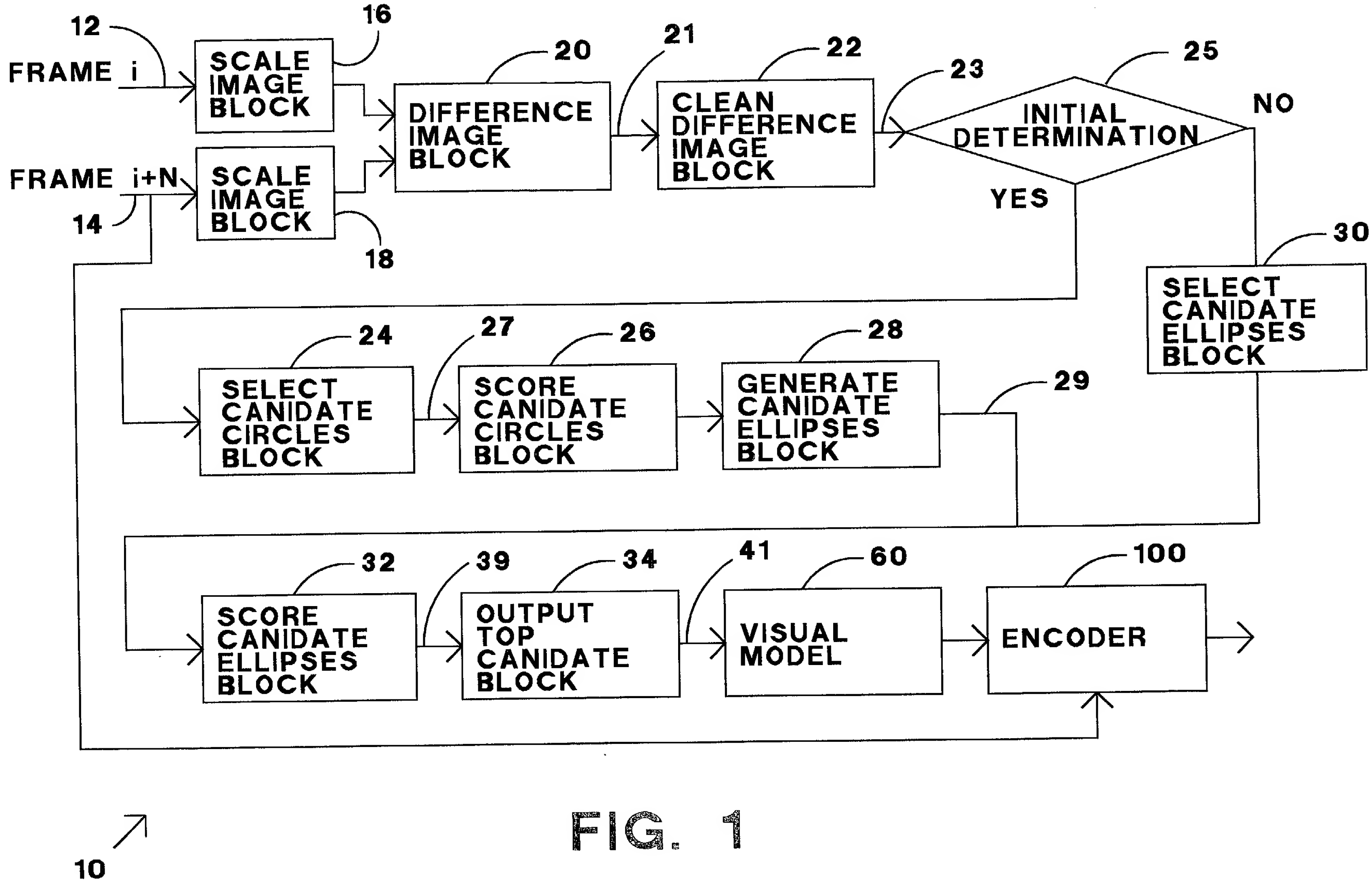
12
FRAME i
FRAME i+N
14
16
18
SCALE IMAGE BLOCK
SCALE IMAGE BLOCK
20
DIFFERENCE IMAGE BLOCK
21
22
CLEAN DIFFERENCE IMAGE BLOCK
23
25
INITIAL DETERMINATION
NO
YES
30
SELECT CANIDATE ELLIPSES BLOCK
24
SELECT CANIDATE CIRCLES BLOCK
27
26
SCORE CANIDATE CIRCLES BLOCK
28
GENERATE CANIDATE ELLIPSES BLOCK
29
32
SCORE CANIDATE ELLIPSES BLOCK
39
34
OUTPUT TOP CANIDATE BLOCK
41
60
VISUAL MODEL
100
ENCODER
10

FIG. 1

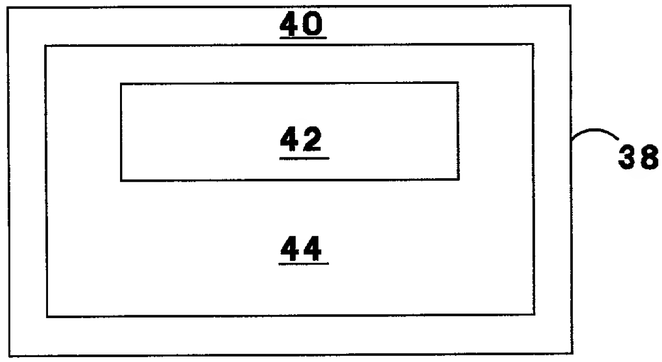

FIG. 2

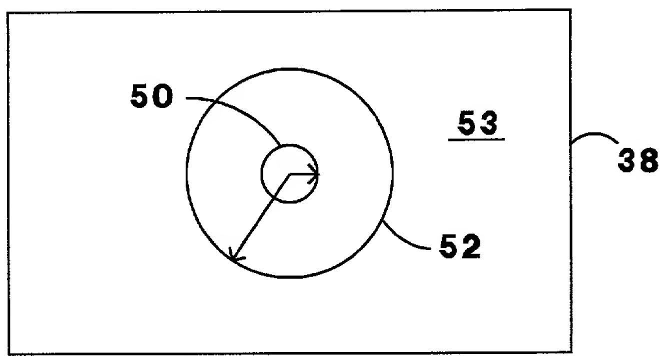

FIG. 3

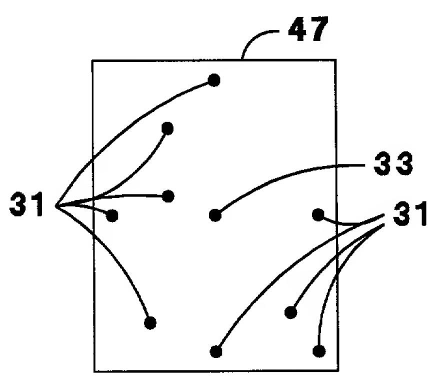

FIG. 4

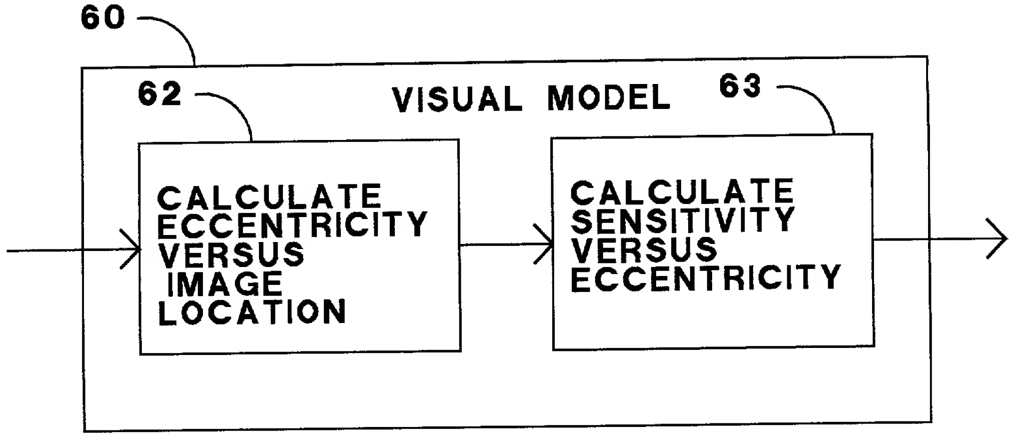

FIG. 5

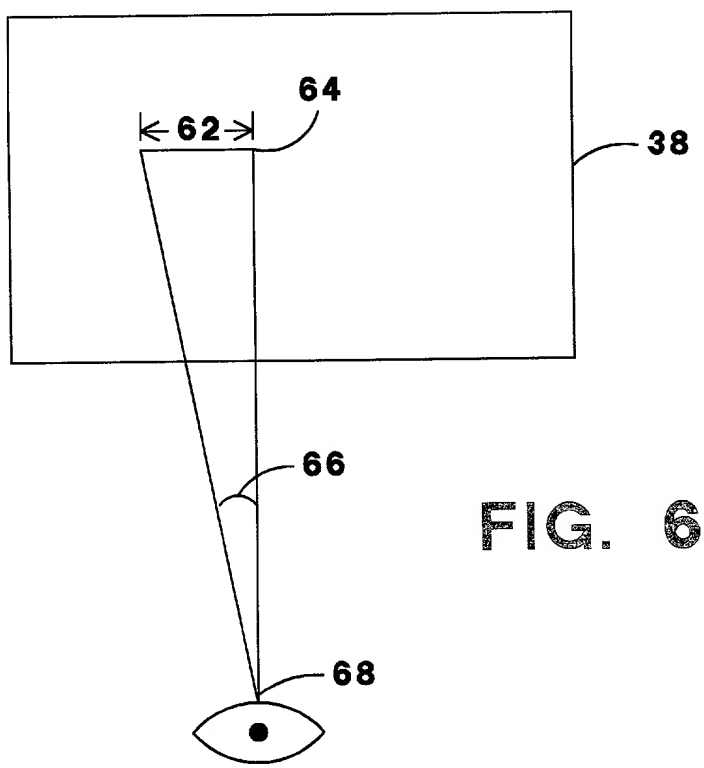

FIG. 6

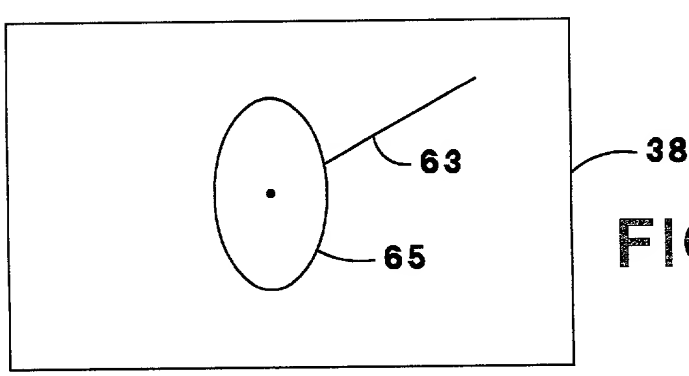

FIG. 7

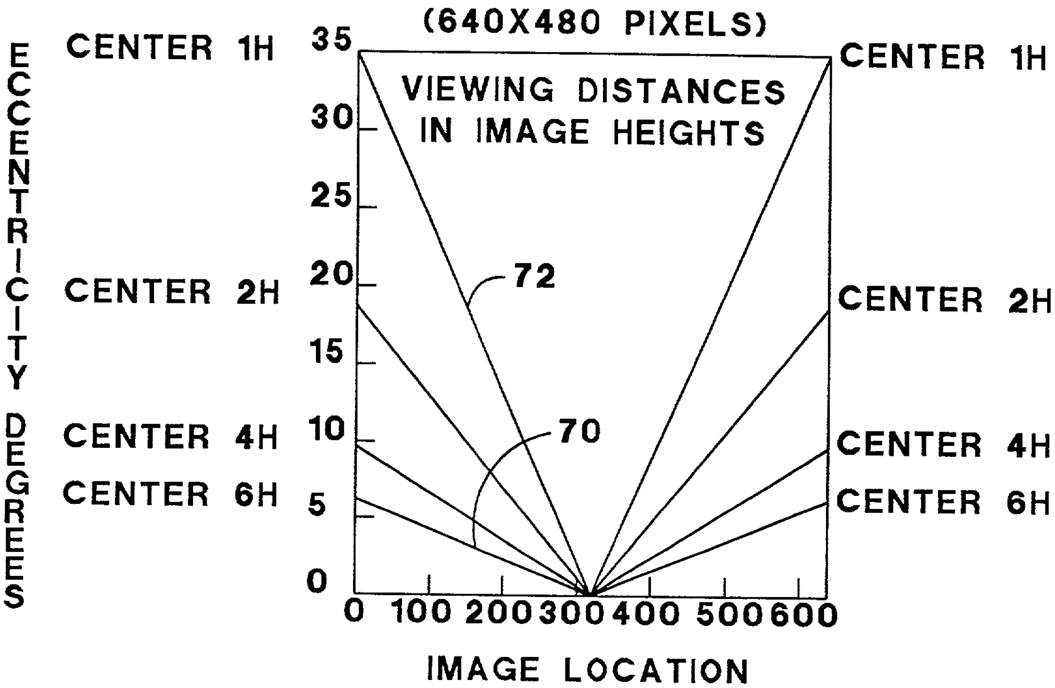
(640X480 PIXELS)
VIEWING DISTANCES IN IMAGE HEIGHTS
ECCENTRICITY DEGREES
CENTER 1H
CENTER 2H
CENTER 4H
CENTER 6H
35
30
25
20
15
10
5
0
72
70
0 100 200 300 400 500 600
IMAGE LOCATION

FIG. 8

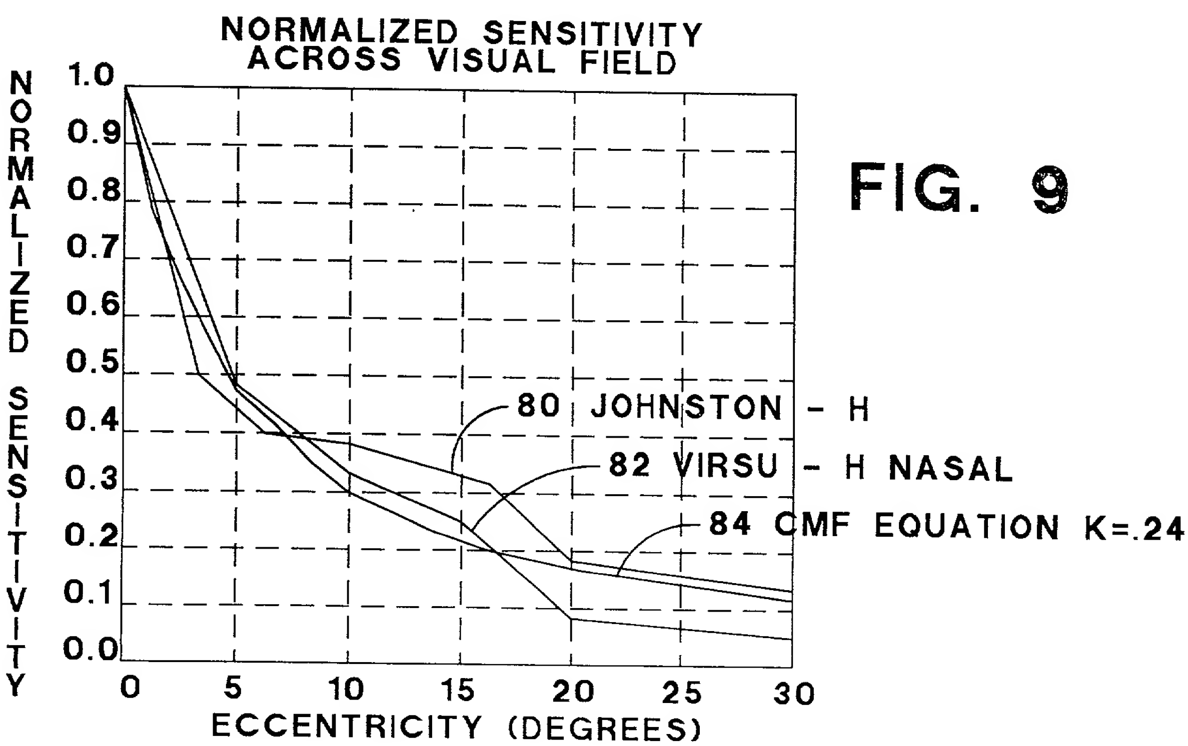
NORMALIZED SENSITIVITY ACROSS VISUAL FIELD
NORMALIZED SENSITIVITY
1.0
0.9
0.8
0.7
0.6
0.5
0.4
0.3
0.2
0.1
0.0
80 JOHNSTON - H
82 VIRSU - H NASAL
84 CMF EQUATION K=.24
0 5 10 15 20 25 30
ECCENTRICITY (DEGREES)

FIG. 9

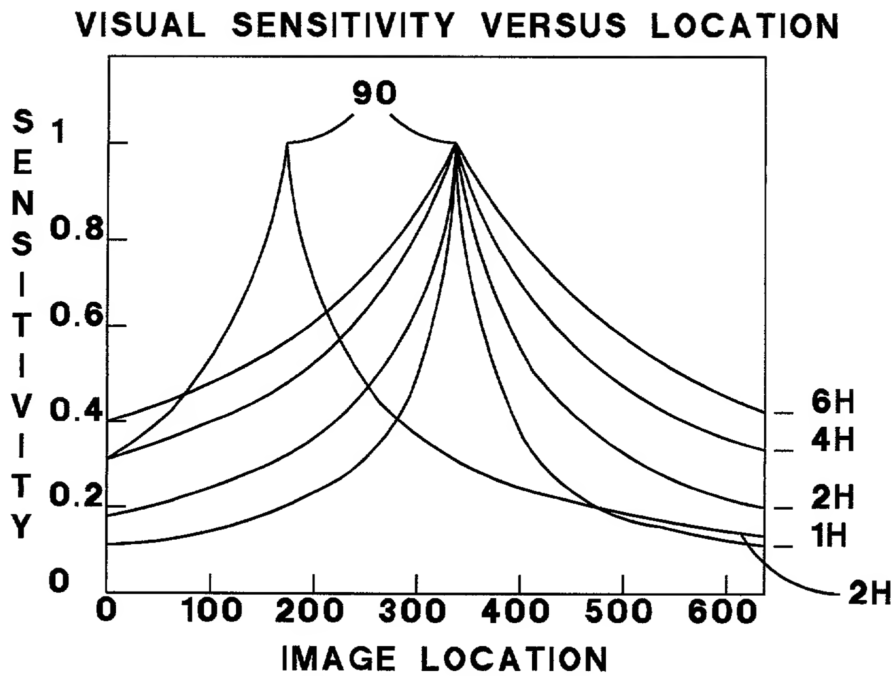
VISUAL SENSITIVITY VERSUS LOCATION
90
SENSITIVITY
1
0.8
0.6
0.4
0.2
0
0
100
200
300
400
500
600
IMAGE LOCATION
6H
4H
2H
1H
2H

FIG. 10

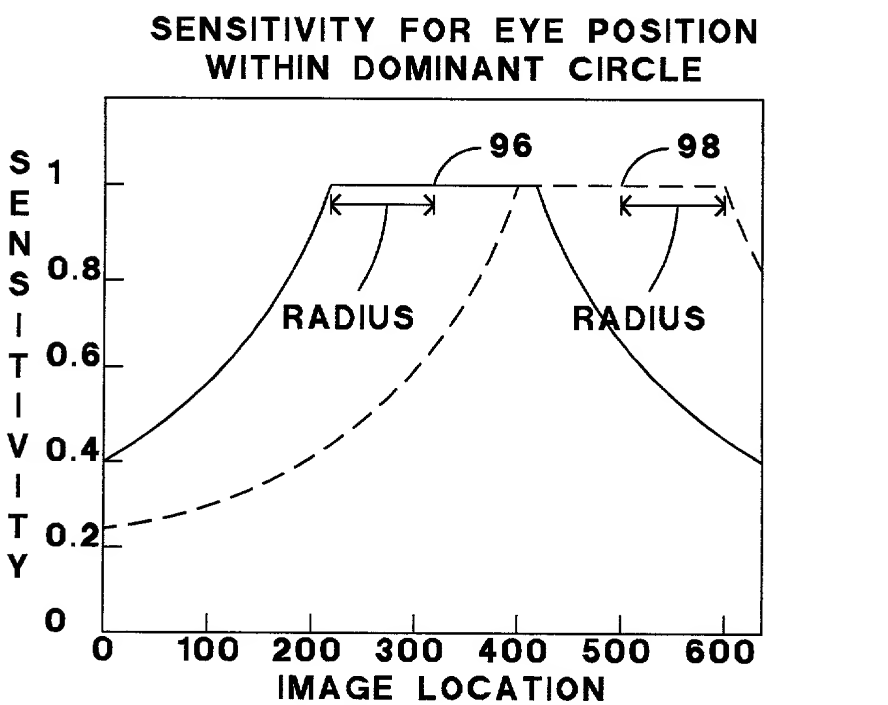
SENSITIVITY FOR EYE POSITION
WITHIN DOMINANT CIRCLE
96
98
RADIUS
RADIUS
SENSITIVITY
1
0.8
0.6
0.4
0.2
0
0
100
200
300
400
500
600
IMAGE LOCATION

FIG. 11

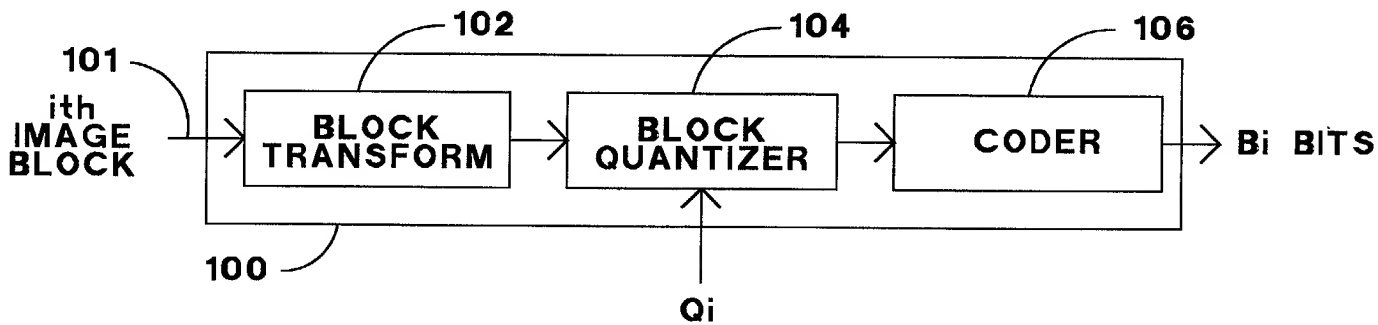
101
ith
IMAGE
BLOCK
102
BLOCK
TRANSFORM
104
BLOCK
QUANTIZER
106
CODER
Bi BITS
100
Qi

FIG. 12

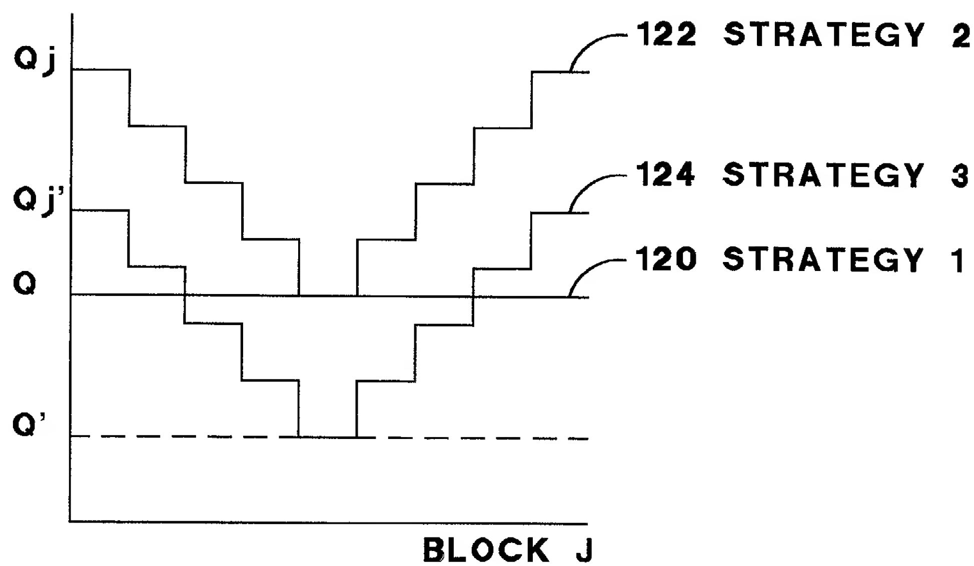
Q j
Q j'
Q
Q'
122 STRATEGY 2
124 STRATEGY 3
120 STRATEGY 1
BLOCK J

FIG. 13

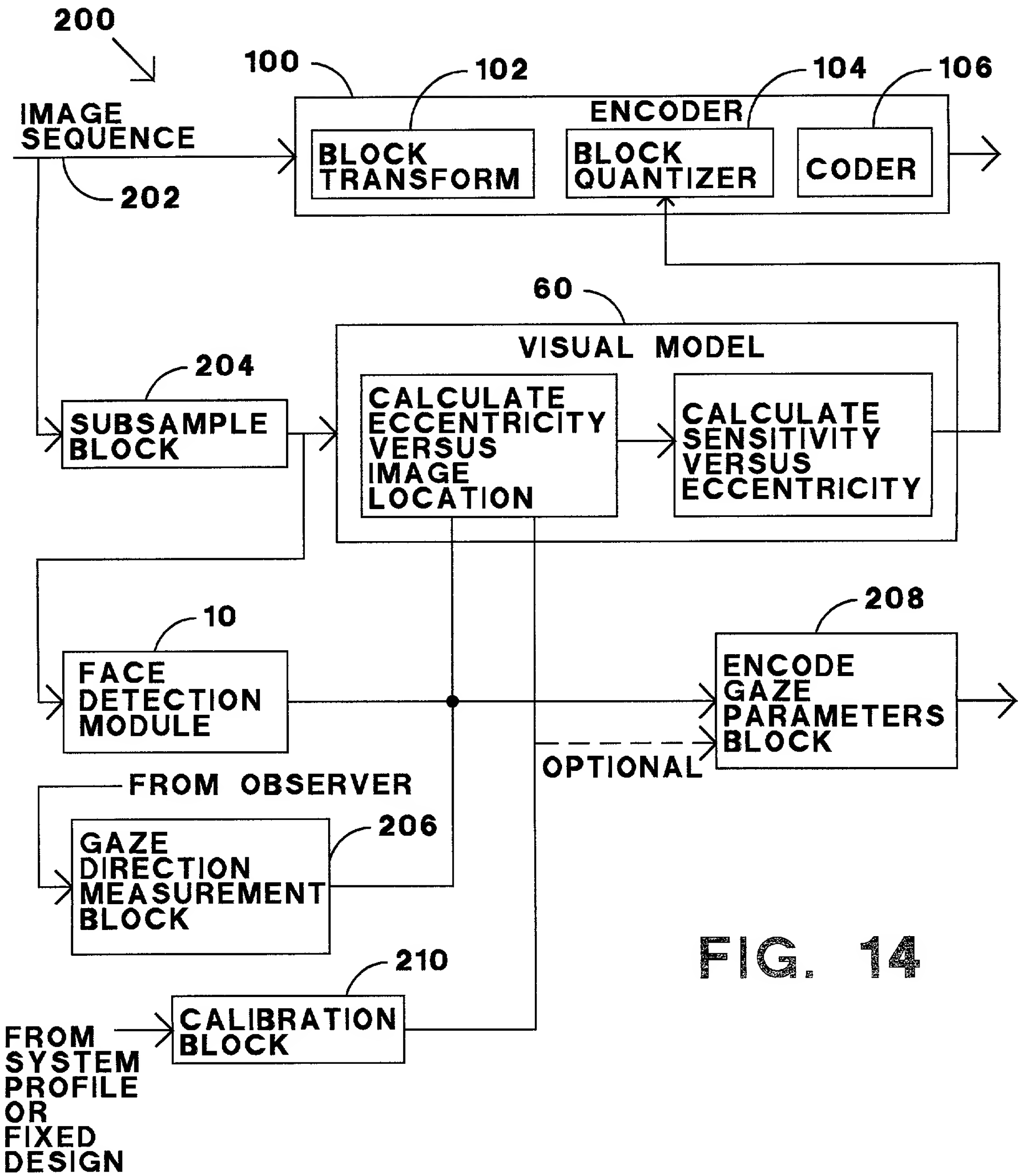
200
100
102
104
106
ENCODER
IMAGE SEQUENCE
BLOCK TRANSFORM
BLOCK QUANTIZER
CODER
202
60
VISUAL MODEL
204
SUBSAMPLE BLOCK
CALCULATE ECCENTRICITY VERSUS IMAGE LOCATION
CALCULATE SENSITIVITY VERSUS ECCENTRICITY
10
FACE DETECTION MODULE
208
ENCODE GAZE PARAMETERS BLOCK
OPTIONAL
FROM OBSERVER
206
GAZE DIRECTION MEASUREMENT BLOCK
210
CALIBRATION BLOCK
FROM SYSTEM PROFILE OR FIXED DESIGN

FIG. 14

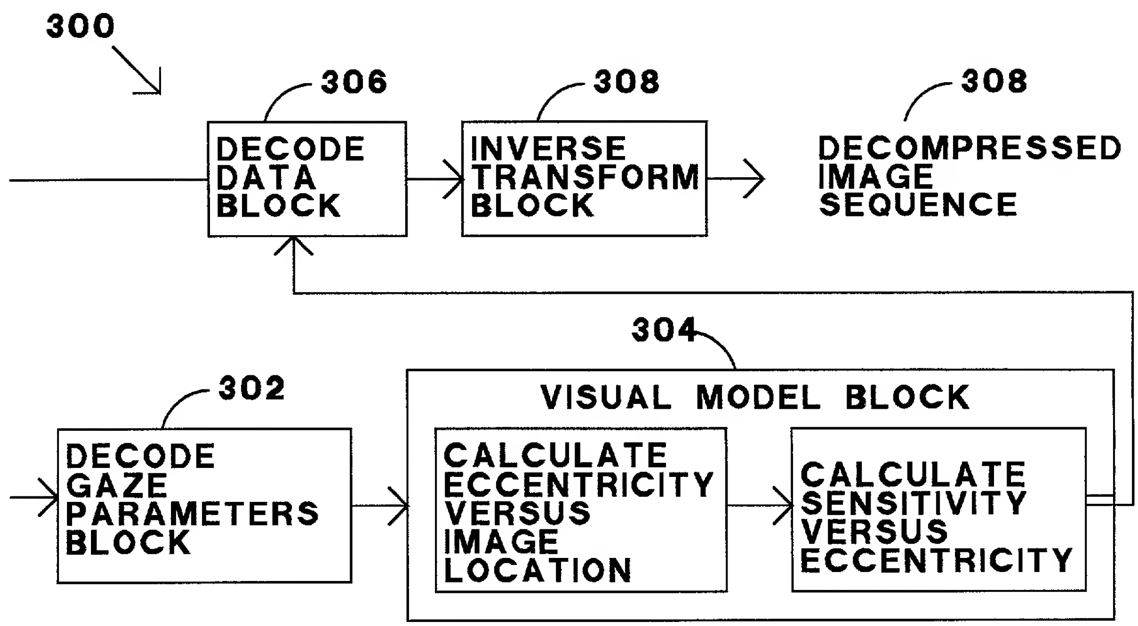
300
306
DECODE
DATA
BLOCK
308
INVERSE
TRANSFORM
BLOCK
308
DECOMPRESSED
IMAGE
SEQUENCE
304
VISUAL MODEL BLOCK
302
DECODE
GAZE
PARAMETERS
BLOCK
CALCULATE
ECCENTRICITY
VERSUS
IMAGE
LOCATION
CALCULATE
SENSITIVITY
VERSUS
ECCENTRICITY

FIG. 15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Scott J. Daly et al. Group Art Unit:

Serial No.: Examiner:

Filed: (concurrently herewith)

Title : METHOD FOR ADAPTING QUANTIZATION IN VIDEO CODING USING FACE DETECTION AND VISUAL ECCENTRICITY WEIGHTING

POWER OF ATTORNEY

I, Jon K. Clemens, declare that I am the President and Chief Executive Officer of Sharp Laboratories of America, Inc., a Washington corporation, and am authorized to execute this document on its behalf. Sharp Laboratories of America, Inc., is the assignee of the entire right, title and interest in the above-referenced patent application and hereby appoints Jacob E. Vilhauer, Jr., Reg. No. 24,885, Charles D. McClung, Reg. No. 26,568, Dennis E. Stenzel, Reg. No. 28,763, Donald B. Haslett, Reg. No. 28,855, William O. Geny, Reg. No. 27,444, J. Peter Staples, Reg. No. 30,690, Karen Dana Oster, Reg. No. 37,621, Kevin L. Russell, Reg. No. 38,292, Nancy J. Moriarty, Reg. No. 40,733, Bruce W. DeKock, Reg. No. 40,585, and Timothy E. Siegel, Reg. No. 37,442, all of the firm of CHERNOFF, VILHAUER, McCLUNG & STENZEL, LLP, 600 Benj. Franklin Plaza, One Southwest Columbia, Portland, Oregon 97258, telephone number 503-227-5631, its attorneys, jointly and individually, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SHARP LABORATORIES OF AMERICA, INC.

Dated: March 31, 1998

Jon K. Clemens
President and Chief Executive Officer

DECLARATION

As a below named inventor, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to my name,

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR ADAPTING QUANTIZATION IN VIDEO CODING USING FACE DETECTION AND VISUAL ECCENTRICITY WEIGHTING

the specification of which

[X] is attached hereto.

[ ] was filed concurrently herewith as Application Serial No. ____________________ and was amended on ____________________.
(if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Claimed
____________ (Number)	____________ (Country)	____________ (Day/Month/Year Filed)	[ ] Yes [ ] No
____________ (Number)	____________ (Country)	____________ (Day/Month/Year Filed)	[ ] Yes [ ] No
____________ (Number)	____________ (Country)	____________ (Day/Month/Year Filed)	[ ] Yes [ ] No

We hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

60/071,099	01/09/98	Pending
(Application Ser. No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Ser. No.)	(Filing Date)	(Status) (patented, pending, abandoned)

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 3/31/98	
Full name of sole inventor	Scott J. Daly
Residence	Kalama, Washington
Citizenship	United States of America
Post Office Address	280 Simmons Spur Road Kalama, WA 98625

Dated:	
Full name of first joint inventor	Kristine E. Matthews
Residence	Vancouver, Washington
Citizenship	United States of America
Post Office Address	501 SE 123rd Avenue, U-147 Vancouver, WA 98683

Dated:	
Full name of first joint inventor	Jordi Ribas-Corbera
Residence	Vancouver, Washington
Citizenship	United States of America
Post Office Address	16604 SE Fisher Drive Vancouver, WA 98683

DECLARATION

As a below named inventor, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to my name,

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR ADAPTING QUANTIZATION IN VIDEO CODING USING FACE DETECTION AND VISUAL ECCENTRICITY WEIGHTING

the specification of which

[X] is attached hereto.

[ ] was filed concurrently herewith as Application Serial No. ____________ and was amended on ____________.
(if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

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Prior Foreign Application(s)

			Priority Claimed
____________ (Number)	____________ (Country)	____________ (Day/Month/Year Filed)	[ ] Yes [ ] No
____________ (Number)	____________ (Country)	____________ (Day/Month/Year Filed)	[ ] Yes [ ] No
____________ (Number)	____________ (Country)	____________ (Day/Month/Year Filed)	[ ] Yes [ ] No

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60/071,099	01/09/98	Pending
(Application Ser. No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Ser. No.)	(Filing Date)	(Status) (patented, pending, abandoned)

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Dated: __________
Full name of sole inventor — Scott J. Daly
Residence — Kalama, Washington
Citizenship — United States of America
Post Office Address — 280 Simmons Spur Road, Kalama, WA 98625

Dated: 03/31/98
Kristine E. Matthews
Full name of first joint inventor — Kristine E. Matthews
Residence — Vancouver, Washington
Citizenship — United States of America
Post Office Address — 501 SE 123rd Avenue, U-147, Vancouver, WA 98683

Dated: 03/31/98
Full name of first joint inventor — Jordi Ribas-Corbera
Residence — Vancouver, Washington
Citizenship — United States of America
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